

Regular functions and regular maps

$k = \text{Alg. closed field}$.

Recall from last time:

$X \subset \mathbb{A}_k^n$ affine algebraic set.

$f: X \rightarrow k$ regular if it is the restriction of a polynomial function.

$$\begin{aligned} k[X] &= k\text{-algebra of regular functions on } X \\ &\cong k[x_1, \dots, x_n] / \mathcal{I}(X). \\ &= \text{Finitely generated nilpotent free } k\text{-algebra.} \end{aligned}$$

Observe - Any finitely generated nilpotent free k -algebra is of the form $k[X]$ for some X .

Why? Let A be such an algebra.

Let $a_1, \dots, a_n \in A$ be a set of generators.

Then we have a map

$$\begin{aligned} \varphi: k[x_1, \dots, x_n] &\rightarrow A \\ x_i &\mapsto a_i. \end{aligned}$$

This map is surjective because $\{a_i\}$ generates A .

By the first iso thm

$$A \cong k[x_1, \dots, x_n] / \mathcal{I}$$

where $I = \text{Ker } \varphi$.

Since A is nilpotent free, I is radical.
Then take $X = V(I)$.

By the Nullstellensatz,

$$\begin{aligned} k[X] &= k[x_1, \dots, x_n] / I(X) \\ &= k[x_1, \dots, x_n] / I \\ &\cong A \end{aligned}$$

□

As a result we have the dictionary.

Algebra

- Finitely generated reduced k -alg. A
- Max ideal of A
- Given $J \subset A$
 $V(J) = \{m \mid m \supset J\}$

Geometry

- Alg of regular functions on affine alg set X .
- Point of X
- Given $J \subset k[X]$
 $V(J) = \{x \mid f(x) = 0 \forall f \in J\}$

In particular $V(J) = \emptyset$ iff $J = (1)$.

Regular Maps

$X \subset \mathbb{A}^n, Y \subset \mathbb{A}^m$ affine alg sets.
 $f: X \rightarrow Y$ is a regular function if

$\exists f_1, \dots, f_m \in k[X]$ such that

$$f(x) = (f_1(x), \dots, f_m(x)) \quad \forall x \in X.$$

Equivalently, if there exist F_1, \dots, F_m
in $k[x_1, \dots, x_n]$ such that

$$f(x) = (F_1(x), \dots, F_m(x)) \quad \forall x \in X.$$

Ex 1: $f: X \rightarrow \mathbb{A}^1$ regular map
 $\Leftrightarrow f$ is a regular function.

Ex 2: $L: \mathbb{A}^n \rightarrow \mathbb{A}^m$ linear transfⁿ
is regular.

Ex 3: Projections $\mathbb{A}^n \rightarrow \mathbb{A}^1$

Ex 4: Compositions of regular maps
are regular

Ex 5: $X \subset \mathbb{A}^n$ Zariski closed.

The inclusion $X \rightarrow \mathbb{A}^n$ is regular.

Def: A regular $f: X \rightarrow Y$ is an isomorphism if there exists a regular inverse map $g: Y \rightarrow X$.

Ex 6: $X = \mathbb{A}^1$
 $Y = \{y^2 - x^3 = 0\} \subset \mathbb{A}^2$

$f: X \rightarrow Y$
 $t \mapsto (t^2, t^3)$ is a regular bijection but not an isomorphism!
How does one see that it's not an iso? Wait and see....

Let $\varphi: X \rightarrow Y$ be any map.
Then we get an induced map

φ^* : Functions on $Y \rightarrow$ Functions on X
 $f \mapsto f \circ \varphi$.

Proposition: φ is regular if and only if φ^* sends regular functions on Y to regular functions on X .

Pf: Suppose φ is regular.

If $f: Y \rightarrow \mathbb{A}^1$ is a regular function then $\varphi \circ f$ is regular because composition of regular maps is regular.

Conversely, suppose $\varphi^*(f)$ is regular for every regular f . Let $\varphi(x) = (\varphi_1(x), \dots, \varphi_m(x))$. We want to show each $\varphi_i(x)$ is regular. But $\varphi_i = \varphi^*(x_i)$ and $x_i \in k[X]$ is regular. \square

Thus a regular map $\varphi: Y \rightarrow X$ induces a k -alg. hom $\varphi^*: k[Y] \rightarrow k[X]$.

Prop: Let $\alpha: k[Y] \rightarrow k[X]$ be a k -alg. hom. Then there is a unique regular $\varphi: X \rightarrow Y$ such that $\alpha = \varphi^*$.

Pf: Suppose $Y = V(J) \subset \mathbb{A}^m$
and $X = V(I) \subset \mathbb{A}^n$.

$$\text{Then } k[Y] = k[y_1, \dots, y_m] / J$$

$$k[X] = k[x_1, \dots, x_n] / I.$$

$$\text{Let } \varphi_i = \alpha(y_i) \in k[X]$$

$$\text{Consider } \varphi := (\varphi_1, \dots, \varphi_m) : X \rightarrow \mathbb{A}^m.$$

Let us check that φ maps X to Y .

To see this, we must show that

$$f(\varphi_1(x), \dots, \varphi_m(x)) = 0 \quad \forall x \in X \\ f \in J.$$

$$\begin{aligned} \text{But } & f(\varphi_1(x), \dots, \varphi_m(x)) \\ &= f(\alpha(y_1), \dots, \alpha(y_m)) \\ &= \alpha(f(y_1, \dots, y_m)) \\ &= \alpha(0) = 0. \end{aligned}$$

So $\varphi : X \rightarrow Y$. Note $\varphi^*(y_i) = \alpha(y_i)$
so $\varphi^* = \alpha$ because $\{y_i\}$ generate $k[Y]$.

Finally, if $\varphi : X \rightarrow Y$ is such that $\varphi^* = \alpha$, and $\varphi = (\varphi_1, \dots, \varphi_m)$, then $\varphi^*(y_i) = \varphi_i = \alpha(y_i)$, so there is only one possible φ .

□.

Conseq: $X \rightsquigarrow k[X]$ defines an equivalence of categories

$\left\{ \begin{array}{l} \text{Affine alg} \\ \text{sets with} \\ \text{regular maps} \end{array} \right\} \longrightarrow \left\{ \begin{array}{l} \text{Fin gen reduced} \\ k\text{-algebras} \\ \text{with } k\text{-alg.} \\ \text{homs} \end{array} \right\}$

Ex: $X = \mathbb{A}^1$
 $Y = V(y^2 - x^3) \subset \mathbb{A}^2$

$$k[X] = k[t] \quad k[Y] = k[x, y] / (y^2 - x^3)$$

$$\varphi: X \rightarrow Y \quad \varphi(t) = (t^2, t^3)$$

$$\varphi^*: k[Y] \rightarrow k[X]$$
$$x \mapsto t^2$$
$$y \mapsto t^3.$$

φ^* is not an isomorphism!
Any element in the image of φ^* has vanishing linear term.

Def: Affine algebraic variety
= Affine algebraic set.

We eventually want to define more general algebraic varieties. The first step is

Def: Quasi-affine varieties = Zariski open subsets of affine alg. var.

We now define regular functions and regular maps for quasi-affines.

Def: $U \subset X$ open.

$f: U \rightarrow k$ regular if the following holds - $\forall x \in U$ there exists an open U_x containing x & $F_x, G_x \in k[x]$ such that G_x is nowhere 0 on U_x and $f = F_x/G_x$ on U_x .

Example ①: $U = \mathbb{A}^1 - \{0\} \subset \mathbb{A}^1$.
Then $\frac{1}{t}$ is regular on U .

$$\textcircled{2} \quad X = \{xy - z^2 = 0\} \subset \mathbb{A}^3$$

$$U = X - \{(x, 0, 0) \mid x \in k\}$$

$f = \frac{x}{z}$ or $\frac{z}{y}$ is regular on U .

Before we proceed, we must show that we get the same notion of regular as before for affines.

Prop: Let $X \subset \mathbb{A}^n$ be Zar. closed.
 $f: X \rightarrow k$ is regular in the new sense (locally poly/poly) iff it is regular in the old sense (globally a polynomial).

Pf: Let $x \in X$. There exist U_x, F_x, G_x such that $f = F_x/G_x$ on U_x & $x \in U_x$.
 Say $U_x = X - V(I_x)$. Take $H_x \in I_x$ such that $H_x(x) \neq 0$. Replace U_x by $U'_x = X - V(H_x) \subset U_x$.
 F_x by $A_x = F_x H_x$ and
 G_x by $B_x = G_x H_x$.

Then $f = \frac{A_x}{B_x}$ on U'_x ,

$a \in U'_x$ and $A_x, B_x = 0$ on the complement of U'_x .

Now $\{B_x \mid x \in X\}$ have no common zero, so by the Nullstellensatz they generate the unit ideal of $k[X]$.

Write

$$1 = C_1 B_{x_1} + \dots + C_\ell B_{x_\ell}$$

where $C_i \in k[X]$.

Multiply both sides by f

$$f = \sum C_i B_{x_i} \cdot f$$

& note

$$B_{x_i} f = A_{x_i} \quad \text{on } X$$

so $f = \sum C_i A_{x_i} \in k[X]$

□.

Having defined regular functions, we can define regular maps just as before.

Def: $U \subset \mathbb{A}^n$ $V \subset \mathbb{A}^m$ opens in closed. opens in closed.

$\varphi: U \rightarrow V$ regular map

$\varphi = (\varphi_1, \dots, \varphi_m)$ where φ_i is reg. fun.

- Obs : ① Pull backs of reg. fun under reg maps are regular
- ② Compositions of reg. fun are regular

Example (Important).

$$X = \mathbb{A}^1 - \{0\}.$$

$$Y = V(xy-1) \subset \mathbb{A}^2.$$

$$\varphi : Y \rightarrow X \quad \text{regular}$$

$$(x, y) \mapsto x.$$

$$\psi : X \rightarrow Y$$

$$x \mapsto (x, \frac{1}{x}) \quad \text{regular.}$$

$$\varphi \circ \psi = \text{id}, \quad \psi \circ \varphi = \text{id}. \quad \text{so } X \cong Y.$$

That is the quasi-affine X is actually affine!

$$\text{Ring of reg. fun on } X = k[x, y] / (xy-1) \cong k[t, t^{-1}] \subset k(t)$$

$$\text{by } x \mapsto t, \quad y \mapsto t^{-1}.$$

Example (Important)

$$X = \mathbb{A}^n - V(f).$$

$$Y = V(yf - 1) \subset \mathbb{A}^{n+1}.$$

$$\begin{aligned} \varphi: Y &\rightarrow X && \text{regular} \\ (x, y) &\mapsto x \end{aligned}$$

$$\begin{aligned} \psi: X &\rightarrow Y \\ x &\mapsto (x, \frac{1}{f(x)}) && \text{regular.} \end{aligned}$$

$$\varphi \circ \psi = \text{id} \quad \psi \circ \varphi = \text{id}.$$

$\therefore X \cong Y$. \therefore hence

$$k[X] \cong k[x_1, \dots, x_n, y] / (f(x)y - 1)$$

$$\cong \left\{ \frac{p}{f^m} \mid p \in k[x_1, \dots, x_n], m \geq 0 \right\}$$

$$\subset k(x_1, \dots, x_n, y)$$

by the map $x_i \mapsto x_i \quad y \mapsto \frac{1}{f}$.

A non-affine variety

$$X = \mathbb{A}^2 - \{0\}$$

We have a map

$$k[X] \rightarrow k(x, y)$$

$$f \mapsto \frac{F}{G} \quad \text{where } f = \frac{F}{G} \text{ on some open } U \text{ in } X.$$

The choice of U does not matter -

First any two opens in X intersect

\Rightarrow any open is dense.

$$\text{So if } f = \frac{F_1}{G_1} \text{ on } U_1$$

$$= \frac{F_2}{G_2} \text{ on } U_2$$

$$\text{then } G_2 F_1 - F_1 G_2 = 0 \text{ on } U_1 \cap U_2 \\ = 0 \text{ on } \mathbb{A}^2$$

by continuity. So $F_1/G_1 = F_2/G_2$ in $k(x, y)$.

$$\text{Write } X = \mathbb{A}^2 - V(x) \cup \mathbb{A}^2 - V(y)$$

Now the reg. fun on $\mathbb{A}^2 - V(x)$ in $k(x, y)$ are $\left\{ \frac{f}{x^n} \right\}$

Similarly reg. fun on $\mathbb{A}^2 - V(y)$ are

$$\left\{ \frac{f}{y^n} \right\}.$$

A reg fun on X must lie in the intersection but the intersection

$$\left\{ \frac{f}{x^n} \mid f \in k[x, y] \right\} \cap \left\{ \frac{f}{y^n} \mid f \in k[x, y] \right\} \\ \parallel \\ k[x, y].$$

$$\text{So } k[X] = k[x, y] = k[A^2].$$

To conclude that X is not affine
see that the ideal $(x, y) \subset k[X]$ is
non unit but $V(x, y) = \emptyset$ in X .
This does not happen for affine X

□.